

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report template.
 See(D)U/Ann provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

Audit announcement	
1.1 Document Type	English
1.2 Document language	N/A
1.3 Second document language	N/A
1.4 Unit of certification type	Single Title
1.4.1 Company name	Alcoa Scotland
1.4.2 UIC Name	Alcoa
1.5 Country where UIC is located	Scotland
1.6 ASC Standard	Salmon
1.7 Standard version	1.3
1.8 Certification process is subject to CAB review	1.2

1.9 Name of the Conformity assessment body (CAB)
 Alcoa Marine (UK) Ltd's Register

Client contact person - from the UIC	
1.10 First name	Samuel
1.10 Surname	Ong
1.17 Position in the UIC (Job title)	Certification Manager
1.18 Email address	samuel.ong@alcoa.com
1.19 Phone number	N/A
1.20 Other means of contact e.g. Skype	N/A

2. Audit information

2.1 ASC standard principles covered by the audit

ASC standard principles	
2.1.1 Principle 1	Covered
2.1.1 Principle 2	Covered
2.1.1 Principle 3	Covered
2.1.1 Principle 4	Covered
2.1.1 Principle 5	Covered
2.1.1 Principle 6	Covered
2.1.1 Principle 7	Covered
2.1.1 Principle 8	Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit.

Activity	Under scope of certification	Under scope of this audit	Notes
2.2.1 Shipping	Covered	Covered	N/A
2.2.2 Berthing	Covered	Covered	N/A
2.2.3 Shipping Out	Covered	Covered	N/A
2.2.4 Trawling	Covered	Covered	N/A
2.2.5 Harvest	Covered	Covered	N/A
2.2.6 Unloading	Covered	Covered	N/A
2.2.7 Farming	Covered	Covered	N/A
2.2.8 Transportation	Covered	Covered	N/A
2.2.9 Storage/ground storage	Covered	Covered	N/A
2.2.10 Processing (if present at farm)	Covered	Covered	N/A
2.2.11 Processing (if present at farm)	Covered	Covered	N/A
2.2.12 Other (Please describe)	Not Covered	Not Covered	N/A

2.3 Certification cycle

2.4 Audit type	1
2.5 Audit number in certification cycle	Surveillance audit
2.6 Will harvesting be witnessed during audit?	No
2.6.1 If harvest is NOT witnessed, please justify:	Not witnessed
2.7 Audit conducted (On-site/Remote)	On-site

Please indicate the hours assigned to the different audit activities in the table below, as stated by the hours stated on the certificate by the environmental and social auditors:

2.8	2.9	2.10
Hours assigned to audit activities	Social Auditors	Environmental Auditors
Off-site activities	8	8
On-site activities	6	6
Total man-days	1.5	1.5

2.11 Audit team and other involved persons

2.11	2.12	2.13	2.14	2.15
Person	Full name	Role	Specialist needed for the audit	On-site or remote?
Lead	Chris	Audit team leader	N/A	On-site
Lead	Adam	Social auditor	N/A	On-site
Microtype	Paul	Technical reviewer	N/A	Remote

3. Line information

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11
Reference	Ownership	Address (British zones)	Line address	Phone No. (to 0000000000000000)	Phone No. (to 0000000000000000)	Production system?	Number of production units	Year of production	Start year of audit	End of audit
Ulster	Covered	Atlantic salmon (Baltic water)	Long cycle system (6 months)	91 338623	4 602481	Cages - seawater steel			31 February 2021	31 February 2023

3. Stakeholder engagement

4.1	4.2	4.3	4.4	4.5	4.6	4.7	4.8	4.9	4.10	
Client Company/Organisation (if applicable)	Client sector - Trust name	Client sector - Subsector	Country where stakeholder is based	Full address of contact person/ stakeholder	Relationship type	Production type (Other was selected what type?)	General area stakeholder	Year of production	Year of audit	Stakeholder comments relate to what ASC standard indicator number?
Apaculture Stewardship Council	N/A	N/A	Netherlands, The	certification@asc-uk.com	Standard scheme owner	N/A	N/A	14 January 2022		N/A announcement stage
Scottish Creelers and Divers	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Fisheries industry	N/A	14 January 2022		N/A announcement stage
Highlands and Islands Enterprise	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Industry support service	N/A	14 January 2022		N/A announcement stage
Royal Society for the Protection of Birds	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	NGO - Environmental area	Environmental Protection	N/A	14 January 2022		N/A announcement stage
Atlantic Salmon Trust	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	NGO - Environmental area	Environmental Protection	N/A	14 January 2022		N/A announcement stage
Fisheries Management Scotland	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Fisheries industry	N/A	14 January 2022		N/A announcement stage
Highland Council Planning Department	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Government	Local Government	N/A	14 January 2022		N/A announcement stage
National Trust for Scotland	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Heritage protection	N/A	14 January 2022		N/A announcement stage
Marine Conservation Society	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	NGO - Environmental area	Environmental Protection	N/A	14 January 2022		N/A announcement stage
Scottish Salmon Producers Organisation	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Aquaculture industry	N/A	14 January 2022		N/A announcement stage
Scottish Salmon Company	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Aquaculture	N/A	14 January 2022		N/A announcement stage
Loch Sea Trout	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Western Isles Council	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Government	Local Government	N/A	14 January 2022		N/A announcement stage
Calmaic Farms (Baldalu/Scomer Coasts)	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Storax Ulbhis Land Estate	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Authorities	Local Council	N/A	14 January 2022		N/A announcement stage
Loch Balabale Harbour	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Local Organisation	N/A	14 January 2022		N/A announcement stage
Coanlagh Estate	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Local Land Owner	N/A	14 January 2022		N/A announcement stage
Highland Council	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Government	Local Government	N/A	14 January 2022		N/A announcement stage
Forestry Commission	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Forestry	N/A	14 January 2022		N/A announcement stage
Neus Fisheries Board	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Fisheries	N/A	14 January 2022		N/A announcement stage
Jacobite Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Loch Ness Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Cruise Loch Ness	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Castle Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Calay Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Neighbour	Local Company	N/A	14 January 2022		N/A announcement stage
Glen Garry Council	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Government	Local Government	N/A	14 January 2022		N/A announcement stage
Loch Garry Fishing	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Fisheries	N/A	14 January 2022		N/A announcement stage
Community Council - Eilean a'Chao	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Government	Local Government	N/A	14 January 2022		N/A announcement stage
Scottish White Fish Producers Association Ltd	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	Other	Fisheries	N/A	14 January 2022		N/A announcement stage
Scottish Forestry - Highlands & Islands	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	N/A	NGO - Environmental area	Environmental Protection Group	N/A	14 January 2022		N/A announcement stage