

Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. See(D0) (v.96 provided by ASC in the confirmation email of the publication of this Form 3.

1. General, client/CAB information

Audit announcement	
1.1 Document Type	English
1.2 Document language	N/A
1.3 Second document language	N/A
1.4 Unit of certification type	Single Title
1.4.1 Company name	Alcoa Scotland
1.4.2 UIC Name	11000
1.5 Country where UIC is located	Scotland
1.6 ASC Standard	SR000
1.7 Standard version	1.3
1.8 Certification process is subject to CAR version	1.2
1.9 Name of the Conformity assessment body (CAB)	Accura Marine (UK) Ltd/CAR Register

Client contact person - from the UIC

1.11 Client name	Samuel
1.15 Surname	Osip
1.17 Position in the UIC (Job title)	Certification Manager
1.18 Email address	samuel.osip@mcsw.com
1.19 Phone number	N/A
1.20 Other means of contact e.g. Skype	N/A

2. Audit information

2.1 ASC standard principles covered by the audit

ASC standard principles	Covered
2.1.1 Principle 1	Covered
2.1.2 Principle 2	Covered
2.1.3 Principle 3	Covered
2.1.4 Principle 4	Covered
2.1.5 Principle 5	Covered
2.1.6 Principle 6	Covered
2.1.7 Principle 7	Covered
2.1.8 Principle 8	Covered

2.2 Activities covered under the scope of the certification and under the scope of the audit.

Activities in the table occur in their product only.

Activity	Under scope of certification	Under scope of this audit	Notes
2.2.1 Shipping	Covered	Covered	N/A
2.2.2 Moring	Covered	Covered	N/A
2.2.3 Moring Out	Covered	Covered	N/A
2.2.4 Trawling	Covered	Covered	N/A
2.2.5 Moring	Covered	Covered	N/A
2.2.6 Moring	Covered	Covered	N/A
2.2.7 Fishing	Covered	Covered	N/A
2.2.8 Transportation	Covered	Covered	N/A
2.2.9 Storage/ground storage	Covered	Covered	N/A
2.2.10 Processing (if present at farm)	Covered	Covered	N/A
2.2.11 Processing (if present at farm)	Covered	Covered	N/A
2.2.12 Other (Please describe)	Not Covered	Not Covered	N/A

2.3 Certification cycle

2.4 Audit type	Surveillance audit
2.5 Audit number in certification cycle	8
2.6 Will harvesting be witnessed during audit?	No
2.6.1 If answer is 'No' witnessed, please justify.	Not witnessed
2.7 Audit conducted (On-site/Remote)	Remote

Please indicate the hours spent on the different audit activities in the table below, measured in the hours spent on the activities by the environmental and social auditors.

2.8

2.8	2.9	2.10
Hours assigned to audit activities	8	8
On-site activities	6	6
Total man days	1.5	1.5

2.9 Audit team and other involved persons

2.9.1	2.9.2	2.9.3	2.9.4	2.9.5
Person	Full name	Role	Expertise needed for the audit (Required for technical experts only)	On-site or off-site or remote?
Lead	Chris	Audit team leader	N/A	On-site
Lead	Adam	Social auditor	N/A	On-site
Microtype	Paul	Technical reviewer	N/A	Remote

3. Line information

3.1

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10	3.11
Line name	Processing	Primary activities	Line address	Address (A, B, C)	Region (E, M)	Production system?	Number of audit production units	Start date of audit	End date of audit	End date of audit
Lines	Covered	Indicate volume (t/annum)	Using catch vessels (td/month)	SA 00000000	SA 00000000	SA 00000000	SA 00000000	21 February 2022	21 February 2022	21 February 2022

3.2 Stakeholder engagement

3.1

3.1	3.2	3.3	3.4	3.5	3.6	3.7	3.8	3.9	3.10
Client Company/Organisation (if applicable)	Product sector - First team	Client person - Surname	Country where stakeholder is based	Small address of contact person/ stakeholder	Relationship type	Communication type "Twitter" was selected other type?	Contact date stakeholder	Is the stakeholder subject comment?	Stakeholder comment relate to what ASC standard indicator number?
Aquaculture Stewardship Council	N/A	N/A	Netherlands, The	certification@asc-jb.com	Standard scheme owner	N/A	14 January 2022		N/A announcement stage
Glencoe Boat Club	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Sports Organisation	14 January 2022		N/A announcement stage
Highland Council	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Government	N/A	14 January 2022		N/A announcement stage
Forestry Commission	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Forestry	14 January 2022		N/A announcement stage
Ness Fisheries Board	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Fisheries	14 January 2022		N/A announcement stage
Jacobites Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Loch Ness Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Cruise Loch Ness	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Castle Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Calve Cruises	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Glen Garry Council	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Government	N/A	14 January 2022		N/A announcement stage
Loch Garry Fishing	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Fisheries	14 January 2022		N/A announcement stage
Community Council - Eshan y Chao	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Government	N/A	14 January 2022		N/A announcement stage
Scottish White Fish Producers Association Ltd	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Fisheries	14 January 2022		N/A announcement stage
Scottish Forestry - Highlands & Islands	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	NGO - Environmental org	N/A	14 January 2022		N/A announcement stage
Scottish Canals	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Other	Local Company	14 January 2022		N/A announcement stage
Scottish Sea Farms	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Competitors	N/A	14 January 2022		N/A announcement stage
Dawnfish Farming	N/A	N/A	United Kingdom of Great Britain and Northern Ireland, The	n/a	Competitors	N/A	14 January 2022		N/A announcement stage