

### Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself. SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

#### 1. General, client/CAB information

1.1 Document Type	Audit announcement
1.2 Document language	English
1.3 Second document language	Spanish, Castilian
1.4 Unit of certification type	Single Site
1.4.1 Company name	Ria Austral S.A
1.4.2 UoC Name	Quellen Area
1.5 Country where UoC is located	Chile
1.6 ASC Standard	Bivalves
1.7 Standard version	1.1
1.8 Certification process is subject to CAR version	2.2
1.9 Name of the Conformity assessment body (CAB)	Acoura Marine U/A Lloyd's Register

  

Client contact person - from the UoC	
1.15 First name	Angelos
1.16 Surname	Bianco
1.17 Position in the UoC (job title)	Certification Manager
1.18 Email address	abianco@ruarhispania.com
1.19 Phone number	N/A
1.20 Other means of contact e.g. Skype	N/A

#### 2. Audit information

2.1 ASC standard principles covered by the audit	ASC standard principles		
2.1.1 Principle 1	Covered		
2.1.2 Principle 2	Covered		
2.1.3 Principle 3	Covered		
2.1.4 Principle 4	Covered		
2.1.5 Principle 5	Covered		
2.1.6 Principle 6	Covered		
2.1.7 Principle 7	Covered		
2.1.8 Principle 8	Covered		

  

2.2 Activities covered under the scope of the certification and under the scope of the audit.	Activity	Under scope of certification	Under Scope of this audit	Notes
Activities in the table apply to final product only.				
2.2.1 Slaughtering	Covered	Covered		n/a
2.2.2 Nursing	Covered	Covered		n/a
2.2.3 Growing Out	Covered	Covered		n/a
2.2.4 Transferring	Covered	Covered		n/a
2.2.5 Harvest	Covered	Covered		n/a
2.2.6 Vaccination	Covered	Covered		n/a
2.2.7 Fallowing	Covered	Covered		n/a
2.2.8 Transportation	Covered	Covered		n/a
2.2.9 Storage (if present at farm)	Covered	Covered		n/a
2.2.10 Processing (if present at farm)	Covered	Covered		n/a
2.2.11 Packing (if present at farm)	Covered	Covered		n/a
2.2.12 Other (Please describe)	Not Covered	Not Covered		n/a

  

2.3 Certification cycle	3
2.4 Audit type	Recertification audit
2.5 Audit number in certification cycle	1
2.6 Will harvesting be witnessed during audit?	Yes
2.7 Audit conducted (On-site/Remote):	On-site

Please indicate the hours assigned to the different audit activities in the table below, separated by the hours spent on the activities by the environmental- and social auditor(s):

2.8	2.9	2.10
Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)
Off-site activities	16	36
On-site activities	16	28
Total man days	4	8

#### Audit team and other involved persons

2.11 Surname	2.12 First name	2.13 Role	2.14 Expertise needed for the audit (required for technical experts only)	2.15 Person on-site or remote?
Flores	Cristobal	Social Auditor	n/a	On-site
Flores	Cristobal	Audit team leader	n/a	On-site

#### 3. Site information

3.2 Site name	3.3 Ownership	3.4 Primary culture species	3.6 Cycle duration	3.13 Latitude (N, S) [00.000000]*	3.14 Longitude (E,W) [00.000000]**	3.15 Production system*	3.16 Number of production units	3.17 Start date of audit	3.18 End date of audit
Quellen Area	Owned	Chilean mussel (Mytilus chilensis)	Long-cycle species (>6 months)	-42.890400	-73.547181	Suspended lines	40	30 August 2021	03 September 2021

#### 4. Stakeholder engagement

4.1 Name of Company/ Organisation if applicable	4.2 Contact person - First name	4.3 Contact person - Surname	4.4 Country where stakeholder is based	4.5 Email address of contact person/ stakeholder	4.6 Stakeholder type	4.7 If stakeholder type "other" was selected what type?	4.8 Contact date stakeholder	4.9 Did the stakeholder submit comments?	4.10 Stakeholder comments relate to what ASC standard indicator number?
Aquaculture Stewardship Council	N/A	N/A	Netherlands, The	certification@asc-aqua.org	Standard scheme owner	N/A	n/a at public disclosure		
Quinchao Municipal Authority Environment Department	N/A	N/A	Chile	n/a	Government	N/A	n/a at public disclosure		
Centro de Estudio y Conservacion del Patrimonio Natural	N/A	N/A	Chile	n/a	NGO - Environmental area	N/A	n/a at public disclosure		
Encargado Depto. Medio Ambiente y Pesca de la I. Municipalidad de Quinchao	N/A	N/A	Chile	n/a	Government	N/A	n/a at public disclosure		
Comunidad Indigena Relepai	N/A	N/A	Chile	n/a	Communities	N/A	n/a at public disclosure		
Comite Agua Potable Nueva Esperanza	N/A	N/A	Chile	n/a	NGO - Environmental area	N/A	n/a at public disclosure		
WWF Chile	N/A	N/A	Chile	n/a	NGO - Environmental area	N/A	n/a at public disclosure		