## Audit Announcement (Form 3)

Please note that all data entered in this audit announcement sheet will be automatically populated to the specific fields in the sheets of the audit report itself.
SiteID(s) is/are provided by ASC in the confirmation email of the publication of this Form 3.

### 1. General, client/CAB information

| | | |
|---|---|---|
| 1.1 | Document Type | Audit announcement |
| 1.2 | Document language | English |
| 1.3 | Second document language | Spanish, Castilian |
| 1.4 | Unit of certification type | Single Site |
| 1.4.1 | Company name | Invermar S.A. |
| 1.4.2 | UoC Name | Yatac |
| 1.5 | Country where UoC is located | Chile |
| 1.6 | ASC Standard | Salmon |
| 1.7 | Standard version | 1.3 |
| 1.8 | Certification process is subject to CAR version | 2.2 |
| 1.9 | Name of the Conformity assessment body (CAB) | Acoura Marine t/a Lloyd's Register |
| | **Client contact person - from the UoC** | |
| 1.15 | First name | Judith |
| 1.16 | Surname | Collins Santana |
| 1.17 | Position in the UoC (Job title) | Head of Management System and Certifications |
| 1.18 | Email address | certificaciones@invermar.cl |
| 1.19 | Phone number | N/A |
| 1.20 | *Other means of contact e.g. Skype* | N/A |

### 2. Audit information

2.1 ASC standard principles covered by the audit

| | ASC standard principles | |
|---|---|---|
| 2.1.1 | Principle 1 | Covered |
| 2.1.2 | Principle 2 | Covered |
| 2.1.3 | Principle 3 | Covered |
| 2.1.4 | Principle 4 | Covered |
| 2.1.5 | Principle 5 | Covered |
| 2.1.6 | Principle 6 | Covered |
| 2.1.7 | Principle 7 | Covered |
| 2.1.8 | Principle 8 | Covered |

2.2 Activities covered under the scope of the certification and under the scope of the audit.
*Activities in the table apply to final product only.*

| | Activity | Under scope of certification | Under Scope of this audit | Notes |
|---|---|---|---|---|
| 2.2.1 | Stocking | Covered | Covered | N/A |
| 2.2.2 | Nursing | Covered | Covered | N/A |
| 2.2.3 | Growing Out | Covered | Covered | N/A |
| 2.2.4 | Transferring | Covered | Covered | N/A |
| 2.2.5 | Harvest | Covered | Covered | N/A |
| 2.2.6 | Vaccination | Covered | Covered | N/A |
| 2.2.7 | Fallowing | Covered | Covered | N/A |
| 2.2.8 | Transportation | Covered | Covered | N/A |
| 2.2.9 | Storage (if present at farm) | Covered | Covered | N/A |
| 2.2.10 | Processing (if present at farm) | Covered | Covered | N/A |
| 2.2.11 | Packing (if present at farm) | Covered | Covered | N/A |
| 2.2.12 | Other (Please describe) | Covered | Covered | N/A |

| | | |
|---|---|---|
| 2.3 | Certification cycle | 1 |
| 2.4 | Audit type | Surveillance audit |
| 2.5 | Audit number in certification cycle | 2 |
| 2.6 | Will harvesting be witnessed during audit? | No |
| 2.6.1 | If harvest is NOT witnessed, please justify: | Not required |
| 2.7 | Audit conducted (On-site/Remote): | On-site |

Please indicate the hours assigned to the different audit activities in the table below, separated by the **hours** spend on the activities by the environmental- and social auditor(s):

| 2.8 | 2.9 | 2.10 |
|---|---|---|
| **Time assigned to audit activities** | **Social Auditor(s)** | **Environmental auditor(s)** |
| Off-site activities | 4 | 4 |
| On-site activities | 8 | 8 |
| Total man days | 1.5 | 1.5 |

Audit team and other involved persons

| 2.11 | 2.12 | 2.13 | 2.14 | 2.15 |
|---|---|---|---|---|
| Surname | First name | Role | **Expertise needed for the audit** (required for technical experts only) | Person on-site or remote? |
| Flores | Cristobal | Audit team leader | N/A | On-site |
| Padilla Magan | Francisco | Technical Auditor | N/A | On-site |
| Macintyre | Paul | Technical reviewer | N/A | Remote |

### 3. Site information

| 3.2 | 3.3 | 3.4 | 3.6 | 3.13 | 3.14 | 3.15 | 3.16 | 3.17 | 3.18 |
|---|---|---|---|---|---|---|---|---|---|
| Site name | Ownership | Primary culture species | Cycle duration | Latitude (N, S) (00.000000)* | Longitude (E,W) (00.000000)* | Production system* | Number of production units | Start date of audit | End date of audit |
| Yatac | Owned | Atlantic salmon (Salmo salar) | Long-cycle species (>6 months) | -43.287612 | -73.657408 | Cages - square steel | 16 | 15 February 2021 | 17 February 2021 |

### 4. Stakeholder engagement

| 4.1 | 4.2 | 4.3 | 4.4 | 4.5 | 4.6 | 4.7 | 4.8 | 4.9 | 4.10 |
|---|---|---|---|---|---|---|---|---|---|
| Name of Company/ Organisation if applicable | Contact person - First name | Contact person - Surname | Country where stakeholder is based | Email address of contact person/ stakeholder | Stakeholder type | If stakeholder type "other" was selected what type? | Contact date stakeholder | Did the stakeholder submit comments? | Stakeholder comments relate to what ASC standard indicator number? |
| Aquaculture Stewardship Council | N/A | N/A | Netherlands, The | Certification@asc-aqua.org | Standard scheme owner | N/A | | | N/A for public announcement |
| Centro de Estudio y Conservacion del Patrimonio Natural | N/A | N/A | Chile | Confidential | NGO - Environmental area | N/A | | | N/A for public announcement |
| Gobierno Regional de Los Lagos | N/A | N/A | Chile | Confidential | Government | N/A | | | N/A for public announcement |
| Corporacion de educacion Queilen | N/A | N/A | Chile | Confidential | Communities | N/A | | | N/A for public announcement |
| WWF Chile | N/A | N/A | Chile | Confidential | NGO - Environmental area | N/A | | | N/A for public announcement |